



GP 3627
2/13/03
PH
#9

BARNES & THORNBURG

600 One Summit Square
Fort Wayne, Indiana 46802
(260) 423-9440

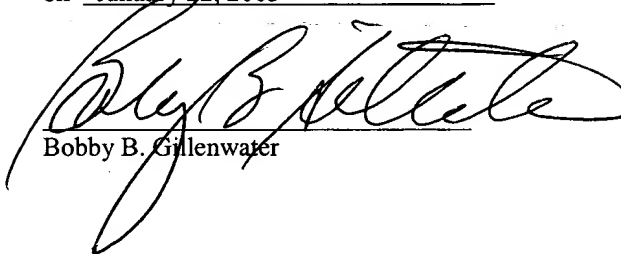
PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Customer No.: 23641
Application No.: 09/406,290
Confirmation No.: 1448
Filing Date: September 24, 1999
Group Art Unit: 3627
Examiner Name: Christopher R. Buchanan
Attorney Docket No.: 37168/82045
First Named Inventor: Jeffrey K. Dellinger
Title: METHOD AND APPARATUS FOR PROVIDING RETIREMENT INCOME BENEFITS

Certificate Under 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

on January 22, 2003


Bobby B. Gillenwater

RECEIVED

JAN 31 2003

GROUP 3600

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

ENCLOSURES (check all that apply)

- | | |
|--|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Express Abandonment Request |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Information Disclosure Statement |
| <input type="checkbox"/> Amendment/Response | <input type="checkbox"/> Certified Copy of Priority Document(s) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Response to Missing Parts/Incomplete Application |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |
| <input type="checkbox"/> Extension of Time Request | |

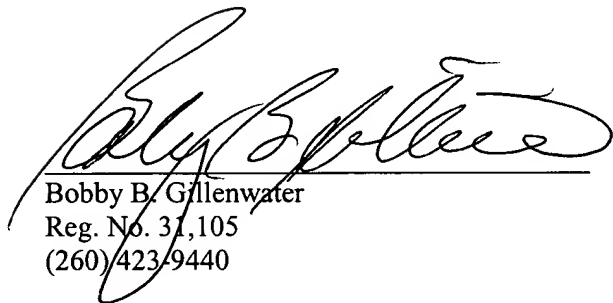
- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-relating Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):

Notice of Related Applications

Receipt Postcard

Remarks:


 Bobby B. Gillenwater
 Reg. No. 31,105
 (260) 423-9440

Date: January 22, 2003

FWDS01 BBG 165782_1



BARNES & THORNBURG

600 One Summit Square
Fort Wayne, Indiana 46802
(260) 423-9440

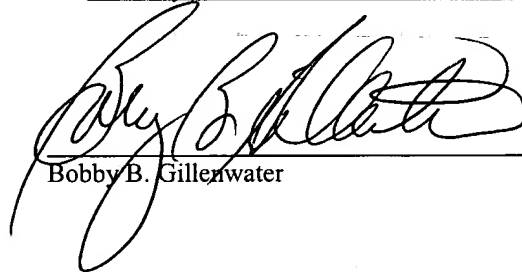
**PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Customer No.: 23641 }
Application No.: 09/406,290 }
Confirmation }
No.: 1448 }
Filing Date: September 24, 1999 }
Examiner's }
Name: Christopher R. Buchanan }
Group Art }
Unit: 3627 }
Attorney }
Docket No.: 37168/82045 }
First Named }
Inventor: Jeffrey K. Dellinger }
Title: METHOD AND APPARATUS }
FOR PROVIDING }
RETIREMENT INCOME }
BENEFITS }

Certificate Under 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231

on January 22, 2003



Bobby B. Gillenwater

RECEIVED

JAN 31 2003

GROUP 3600

NOTICE OF RELATED APPLICATIONS

Assistant Commissioner for Patents
Washington, D.C. 20231

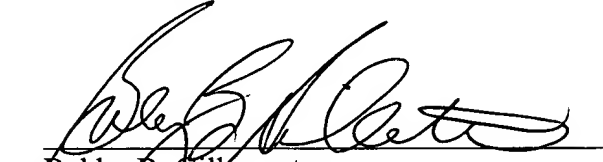
Sir:

The present application is related to and is owned by the Assignee of U.S. Patent Application, Serial No. 09/753,408, filed on January 2, 2001. The latter application is assigned to Examiner Alain L. Bashore in Group Art Unit 3624.

Please confirm receipt of this letter by date stamping and returning the enclosed file
receipt card.

Respectfully submitted,

BARNES & THORNBURG


Bobby B. Gillenwater
Reg. No. 31,105
(260) 423-9440

FWDS01 BBG 165779_1